

# Asymptotic Expansions with Error Bounds for Solutions of Linear Recurrences

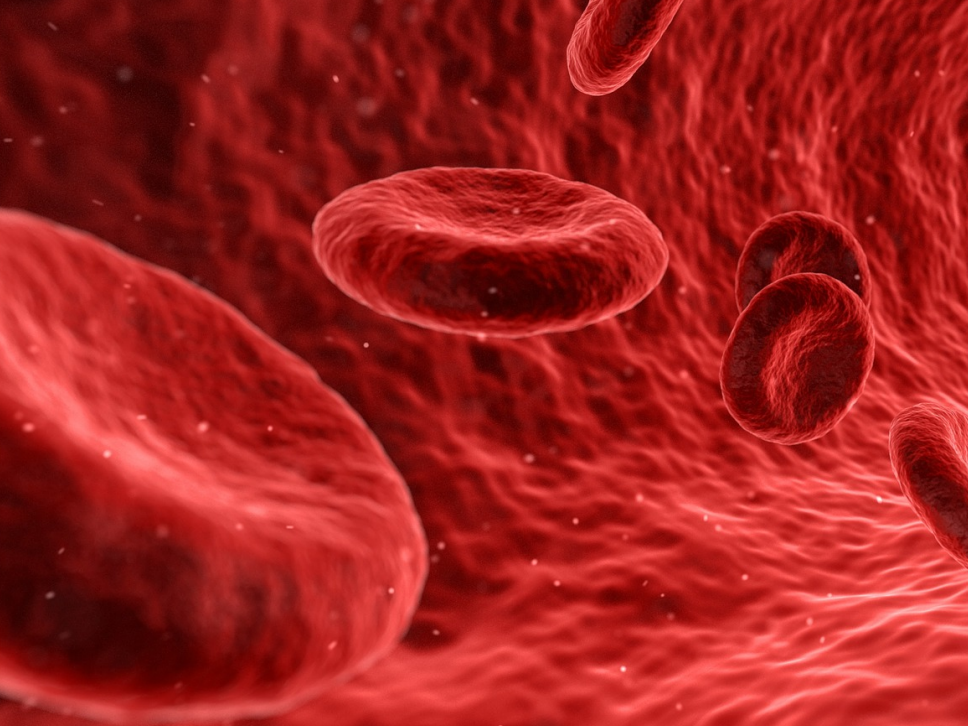
**Marc Mezzarobba**

CNRS, LIX (Palaiseau)

Based on joint work with **Ruiwen Dong** (Oxford) and  
**Stephen Melczer** (Waterloo, ON)

AriC/NuSCAP Seminar, June 15, 2023

[arXiv:2212.11742](https://arxiv.org/abs/2212.11742) [cs.SC]



# From Blood Cells to Minimal Surfaces

*J. Theoret. Biol.* (1970) **26**, 61–81

## **The Minimum Energy of Bending as a Possible Explanation of the Biconcave Shape of the Human Red Blood Cell**

P. B. CANHAM

*Department of Biophysics,  
University of Western Ontario, London, Ontario, Canada*

Lindström (1963) reported that cells resumed their equilibrium form within a fraction of a second after emerging from very small blood vessels. Rand (1964*b*) showed that a cell released from a micropipette returned to the biconcave shape within a few seconds. These observations imply that the biconcave form requires the least energy to be maintained. We believe the energy minimized is the bending energy of the membrane, and that the membrane is solely responsible for the cell's shape.

# From Blood Cells to Minimal Surfaces

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**The Minimum Energy of Bending as a Possible Explanation of the Biconcave Form of a Blood Cell**

**Willmore Energy**

$$\frac{1}{4} \underbrace{\int_S (\kappa_1 + \kappa_2)^2}_{W(S)} + cst_g$$

University of

Canada

Canham Model:

Lindström (1963) Min.  $W$  given  $g$ , area, volume equilibrium form within a fraction of a second after emerging from very small blood vessels. Rand (1964b) showed that a cell released from a micropipette returned to the biconcave shape within a few seconds. **These observations imply that the biconcave form requires the least energy to be maintained. We believe the energy minimized is the bending energy of the membrane, and that the membrane is solely responsible for the cell's shape.**

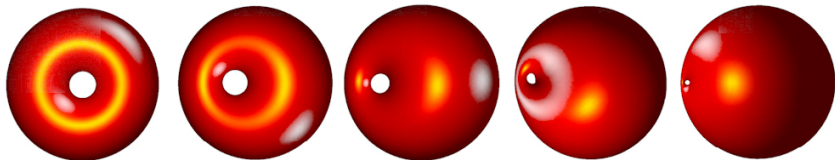
# From Minimal Surfaces to Recurrences

Willmore problem: minimize  $W(S)$  for a surface  $S$  of given genus smoothly immersed in  $\mathbb{R}^3$

► **Marques & Neves** (2012)

[Willmore conjecture, 1965]

In genus one, the unconstrained minimizers are the stereographic projections of the *Clifford torus*  $\mathbb{T}_C = \mathbb{S}_{1/\sqrt{2}}^1 \times \mathbb{S}_{1/\sqrt{2}}^1 \subset \mathbb{S}^3$



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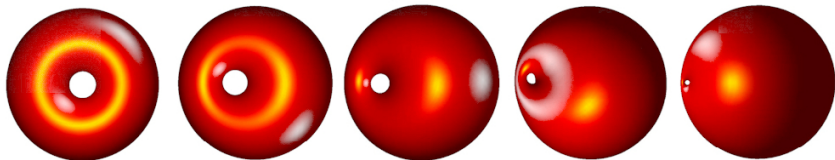
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- ▶ **Yu & Chen** (2022)

Unique minimizer (up to scale) of given isoperimetric ratio  $\pi^{1/6} (6V)^{1/3} A^{-1/2} \in [\tau_0, 1)$  ( $\rightarrow$  Canham problem)



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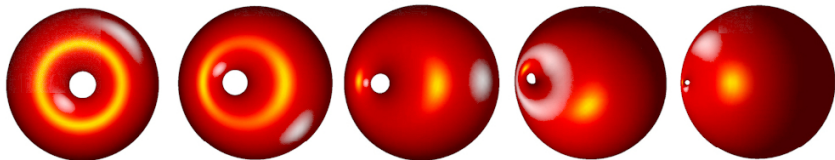
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...provided that a certain explicit sequence  $(d_n)$  is  $>0$



# Yu and Chen's Sequence

$$(d_n) = (72, 1932, 31248, 790101/2, 17208645/4, 338898609/8, 1551478257/4, \dots)$$

$$\begin{aligned} & -(n+8)(n+7)(12232n^3 + 298144n^2 + 2412586n + 6469077)(n+6)^2 d_n \\ & + (n+8)(183480n^6 + 7655560n^5 + 131977142n^4 + 1202876299n^3 + 6112196895n^2 \\ & \quad + 16418149668n + 18219511026) d_{n+1} \\ & - (n+8)(941864n^6 + 38326904n^5 + 644300514n^4 + 5727711699n^3 + 28407144241n^2 \\ & \quad + 74557779538n + 80949464718) d_{n+2} \\ & + (1993816n^7 + 97303624n^6 + 2021855198n^5 + 23184921987n^4 + 158457515673n^3 \\ & \quad + 645518710454n^2 + 1451619424860n + 1390493835900) d_{n+3} \\ & + (-1993816n^7 - 98090344n^6 - 2054897438n^5 - 23758375953n^4 - 163720428321n^3 \\ & \quad - 672459054524n^2 - 1524577250976n - 1472211879228) d_{n+4} \\ & + (n+6)(941864n^6 + 40789672n^5 + 730497394n^4 + 6921881565n^3 + 36590122947n^2 \\ & \quad + 102300885158n + 118218544398) d_{n+5} \\ & + (n+6)(183480n^6 + 7756760n^5 + 135519142n^4 + 1252328453n^3 + 6456460129n^2 \\ & \quad + 17612930492n + 19872693550) d_{n+6} \\ & + (n+7)(n+6)(12232n^3 + 215600n^2 + 1256970n + 2435511)(n+8)^2 d_{n+7} = 0 \end{aligned}$$



Asymptotic behavior



# Eventual Positivity

$$\cdots d_{n+6} + \cdots + \cdots d_{n+1} + \cdots d_n = 0$$

## Routine Calculation

$$d_n = \mathbf{c} \cdot \rho^{-n} n^3 \ln(n) + O(\rho^{-n} n^3) \quad \rho^{-1} = (\sqrt{2} + 1)^2 \approx 5.8$$

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- ▶ Flajolet & Puech (1986)
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- ▶ Salvy (1991) (among others)
- ▶ Chabaud (2002)

# Eventual Positivity

$$\underbrace{\dots}_{\text{yellow}} d_{n+6} + \dots + \underbrace{\dots}_{\text{yellow}} d_{n+1} + \underbrace{\dots}_{\text{yellow}} d_n = 0$$

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$$d_n = c \cdot \rho^{-n} n^3 \ln(n) + O(\rho^{-n} n^3) \quad \rho^{-1} = (\sqrt{2} + 1)^2 \approx 5.8$$

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## Corollary

The sequence  $(d_n)$  is **eventually** positive.

## Question

Replace the  $O(\cdot)$  by an explicitly bounded error term?

# Complete Positivity

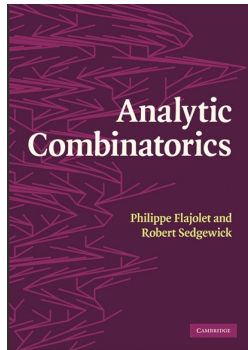
## Proposition

[Melczer & M. (2022)]

$$d_n \geq \rho^{-n} (8.07 n^3 \ln n + 1.37 n^3 - 1196 n^2 \ln^2 n)$$

## Corollary

$d_n > 0$  for all  $n \geq 1000$



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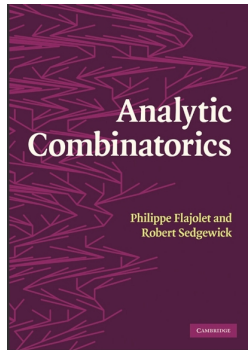
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Real point: We now have the technology to prove bounds of this type

- Bostan & Yurkevich (2022) — More direct proof of Y&C's result



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## Next Step

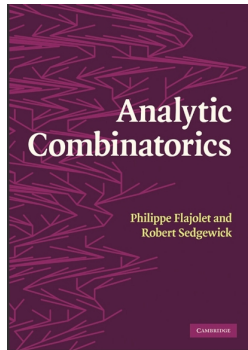
[Dong (2021); Dong, Melczer & M. (2022)]

“General” sequences — Algorithm +



Code

- van der Hoeven (2021) — Theoretical algorithms using Mellin transforms, complexity



# Positivity: Some Related Work

	Constant coefficients	Polynomial coefficients
Decidability issues	<ul style="list-style-type: none"><li>▶ Ouaknine, Worrell (2014)</li><li>▶ Kenison, Nieuwveld, Ouaknine, Worrell (2023)</li></ul>	<ul style="list-style-type: none"><li>▶ Neumann, Ouaknine, Worrell (2021)</li><li>▶ Kenison, Klurman, Lefauchaux, Luca, Moree, Ouaknine, Whiteland, Worrell (2021)</li></ul>
Induction + CAD	<ul style="list-style-type: none"><li>▶ Nuspl &amp; Pillwein (2022)</li></ul>	<ul style="list-style-type: none"><li>▶ Gerhold, Kauers (2005)</li><li>▶ Kauers, Pillwein (2010)</li><li>▶ Pillwein (2013)</li></ul>
Symbolic-numeric		<ul style="list-style-type: none"><li>▶ van der Hoeven (2021)</li><li>▶ Ibrahim, Salvy (2023)</li></ul>



# General Recurrences

$$\square d_{n+6} + \cdots + \square d_{n+1} + \square d_n = 0$$

$$\downarrow \quad d(z) = \sum_n d_n z^n$$

$$\left[ \begin{array}{l} z^2 (z+1)^2 (z-1)^3 (z^2 - 6z + 1)^2 \\ \cdot (3z^4 - 164z^3 + 370z^2 - 164z + 3) \end{array} \right] d^{(3)}(z) + \square d''(z) + \square d'(z) + \square d(z) = 0$$

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## Assumptions

- ▶ The differential equation has **singular points**  $\notin \{0, \infty\}$
- ▶ The singular points are **regular** (at least those we need to work with)

**Regular:**  $z^{i\sqrt{3}} \log^2 z + \cdots$   
convergent

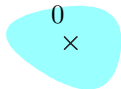
**Irregular:**  $e^{\sqrt{z}+2z} z^{3/2} \log z + \cdots$   
divergent

# A Cauchy Integral

[Flajolet & Puech 1986, Flajolet & Odlyzko 1990, ...]

$$z^2 (z+1)^2 (z-1)^3 (z^2 - 6z + 1)^2 (3z^4 - 164z^3 + 370z^2 - 164z + 3) d^{(3)}(z) + \dots = 0$$

$$d(z) = 72 + 1932z + 31248z^2 + \dots$$



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0  
××

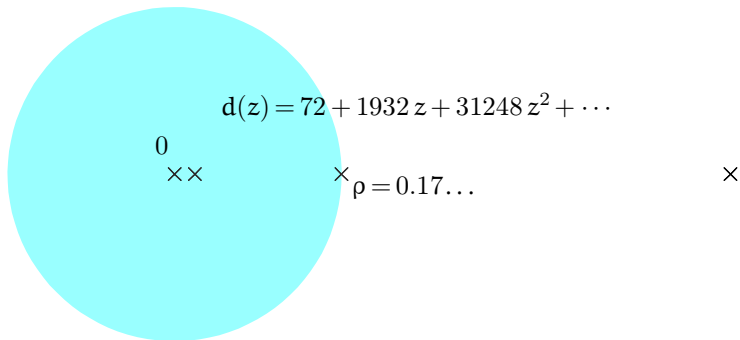
×

×

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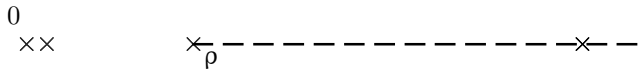
0  
××

×<sub>ρ</sub> — — — — — × — —

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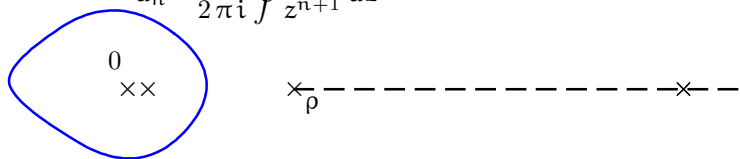




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$$d_n = \frac{1}{2\pi i} \oint \frac{d(z)}{z^{n+1}} dz$$


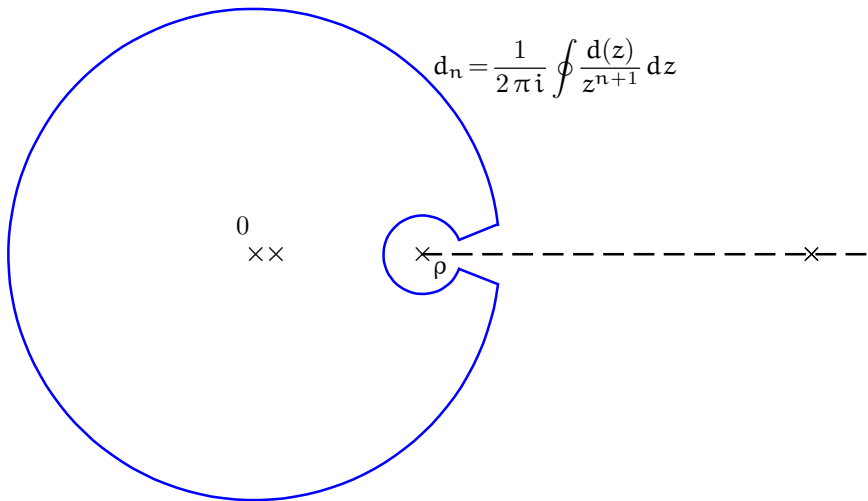
The diagram illustrates the contour integral. A blue closed curve encloses the origin, which is marked with '0' and two 'x's. A dashed horizontal line extends to the right from the origin, with a cross at a point labeled 'rho'.

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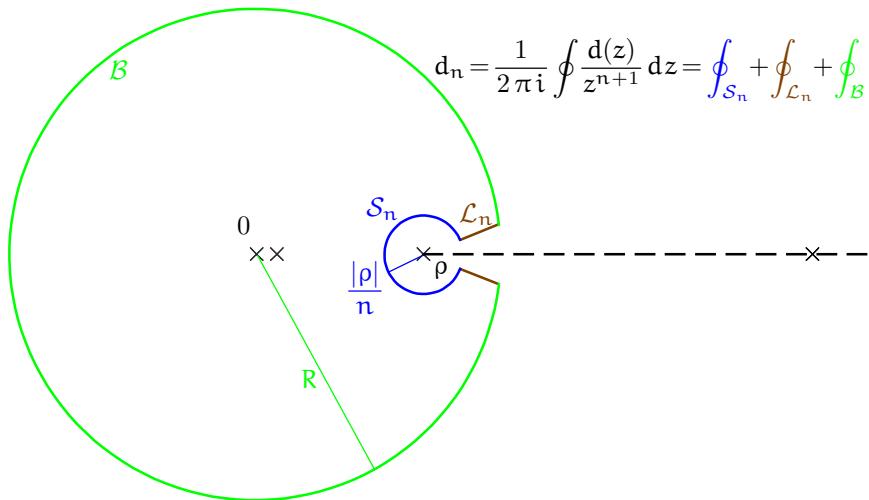
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0  
××

×  
ρ — — — — — × — —

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$$d(z) = 0 \cdot A_1(z) + 0 \cdot A_2(z) + 72 A_3(z)$$

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0

××

×  
 $\rho$

×

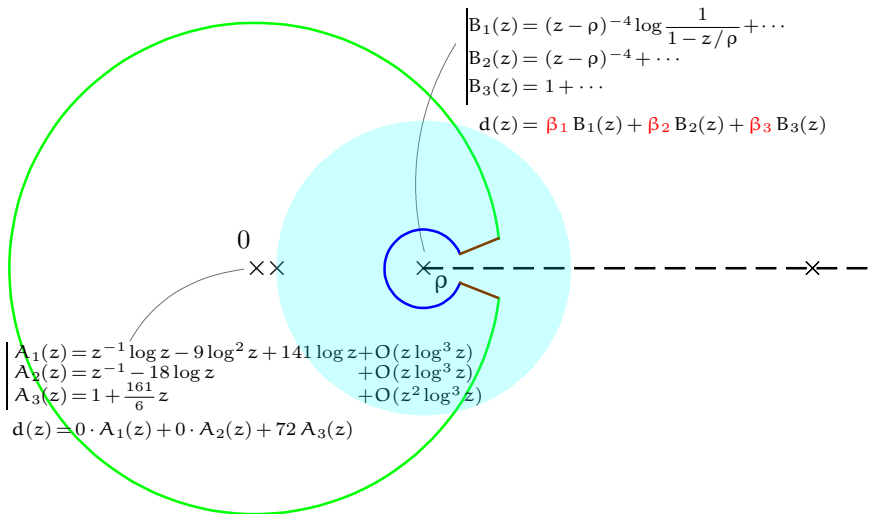
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$$\beta_1 = [0.0420 \pm 10^{-4}] + [\pm 10^{-10}] i$$

$$\beta_2 = [0.0598 \pm 10^{-4}] + [\pm 10^{-10}] i$$

$$\beta_3 = [0.7302 \pm 10^{-4}] + [\pm 10^{-10}] i$$

0

x x

$\rho$

x

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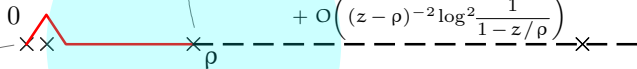
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$$\begin{aligned} d(z) &= \beta_1 B_1(z) + \beta_2 B_2(z) + \beta_3 B_3(z) \\ &= [0.0420 \pm 10^{-4}] (z-\rho)^{-4} \log \frac{1}{1-z/\rho} \\ &\quad + [0.0598 \pm 10^{-4}] (z-\rho)^{-4} \\ &\quad - [0.0209 \pm 10^{-4}] (z-\rho)^{-3} \log \frac{1}{1-z/\rho} \\ &\quad + [0.0491 \pm 10^{-4}] (z-\rho)^{-3} \\ &\quad + O\left(\left((z-\rho)^{-2} \log^2 \frac{1}{1-z/\rho}\right)\right) \end{aligned}$$

$$\begin{aligned} \beta_1 &= [0.0420 \pm 10^{-4}] + [\pm 10^{-10}] i \\ \beta_2 &= [0.0598 \pm 10^{-4}] + [\pm 10^{-10}] i \\ \beta_3 &= [0.7302 \pm 10^{-4}] + [\pm 10^{-10}] i \end{aligned}$$



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# Key Numeric Tools

[van der Hoeven 2001; M. 2011, 2019; ...]

$z_0, z_1$  — ordinary or regular singular points, with fixed associated sol bases

## Rigorous Integration of Singular LODEs

Given the coordinates of a solution  $f$  in the basis at  $z_0$ :

- ▶ one can compute boxes containing the coordinates of  $f$  at  $z_1$
- ▶ for a small enough  $\boxed{z} \subset \mathbb{C}$  containing no singular points, one can compute a box containing  $\{f(z) : z \in \boxed{z}\}$

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## Bounds on Tails of Logarithmic Series

Given  $N \in \mathbb{N}$  and  $0 \leq \delta < \text{dist}(z_0, \{\text{singular points} \neq z_0\})$ ,

for each  $A \in$  local basis at  $z_0$ , one can compute  $\mathbf{M}_0, \dots, \mathbf{M}_K$  such that

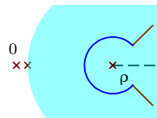
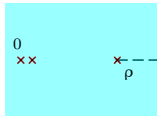
$$A(z) = (z - \rho)^\nu \sum_{k=0}^K \left( \sum_{n=0}^{N-1} u_{n,k} (z - \rho)^n + \underbrace{\sum_{n=N}^{\infty} u_{n,k} (z - \rho)^n}_{|\cdot| \leq \mathbf{M}_k |z - \rho|^N \text{ for } |z - \rho| \leq \delta} \right) \log^k \frac{1}{1 - z/\rho}$$

# Singular Expansion

$$d(z) = \underbrace{[0.0420 \pm 10^{-4}] (z - \rho)^{-4} \log \frac{1}{z - \rho} + \cdots + [0.0491 \pm 10^{-4}] (z - \rho)^{-3}}_{\ell(z)}$$

$$+ \underbrace{\sum_{n=\nu+r}^{\infty} \left[ c_{n,0} + c_{n,1} \log \frac{1}{z - \rho} + c_{n,2} \log^2 \frac{1}{z - \rho} \right]}_{g(z)} (z - \rho)^n$$

$$(\nu = -4, r = 2)$$

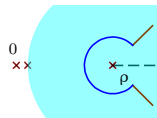
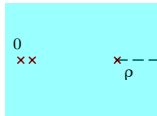


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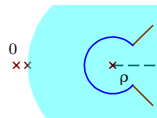
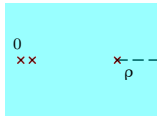


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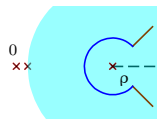
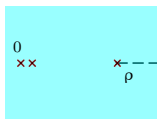
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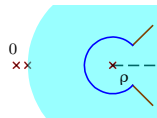
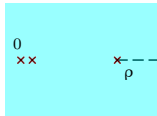
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**Bound each term** for  $n \geq n_0$

# The Explicit Part (I)

[Jungen 1931, ...]

$$\begin{aligned} [z^n] (1-z)^\alpha \log^k \frac{1}{1-z} &= \frac{d^k}{d\alpha^k} \binom{n+\alpha-1}{n} && (\rho=1) \\ &= \underbrace{\frac{\Gamma(n+\alpha)}{\Gamma(n+1)}}_{G(n)} \underbrace{\frac{1}{\Gamma(n+\alpha)} \frac{d^k}{d\alpha^k} \frac{\Gamma(n+\alpha)}{\Gamma(\alpha)}}_{H(n,k)} \end{aligned}$$



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## Lemma (Gamma ratios)

Corollary of [Frenzen 1992]

For  $n > |\alpha|$ ,

$$G(n) = n^{\alpha-1} \left(1 + \frac{\alpha}{2n}\right)^{\alpha-1} \left[ \sum_{j=0}^{r-1} \dots \left(n + \frac{\alpha}{2}\right)^{-j} + R(n) \right]$$

$$|R(n)| \leq C_{\alpha,r,n_0} \left|n + \frac{\alpha}{2}\right|^{-r}$$

...

= explicit coefficients  
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$C_{\alpha,r,n_0}$  = explicit constant

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Then plug in Taylor expansions in  $n^{-1}$  of  $\left(1 + \frac{\alpha}{2n}\right)^{\alpha-1}$  and  $\left(n + \frac{\alpha}{2}\right)^{-j}$

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## The Explicit Part (II)

$$[z^n] (1-z)^\alpha \log^k \frac{1}{1-z} = \underbrace{\frac{\Gamma(n+\alpha)}{\Gamma(n+1)}}_{G(n)} \underbrace{\frac{1}{\Gamma(n+\alpha)} \frac{d^k}{d\alpha^k} \frac{\Gamma(n+\alpha)}{\Gamma(\alpha)}}_{H(n,k)} \quad (\rho=1)$$

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### Lemma

$$H(n, k) = \frac{k!}{\Gamma(\alpha)} [\varepsilon^k] \exp\left( \sum_{m=0}^{k-1} \frac{\psi^{(m)}(n+\alpha) - \psi^{(m)}(\alpha)}{(m+1)!} \varepsilon^{m+1} \right) \quad (*)$$

+ similar formula for  $\alpha \in \mathbb{Z}_{\leq 0}$

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[Nemes 2017]

For  $|\arg z| \leq \pi/4$

$$\psi^{(0)}(z) = \log z - \sum_{j=0}^{r-1} \frac{\dots}{z^j} + R(z), \quad |R(z)| \leq C_{0,r} |z|^{-r}$$

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Algorithm: plug Nemes' bounds into (\*),  
compose multivariate polynomials with interval coefficients

## The Explicit Part: Summary

$$\ell(z) = [0.0420 \pm 10^{-4}] (z - \rho)^{-4} \log \frac{1}{z - \rho} + \cdots + [0.0491 \pm 10^{-4}] (z - \rho)^{-3}$$

$$[z^n] \ell(z)$$



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$$\begin{aligned} & [z^n] (z - \rho)^{-\alpha} \log^k \frac{1}{z - \rho} \\ &= \rho^{-n} n^{\alpha-1} \left( \square \log^k n + \square \log^{k-1} n + \cdots + \square \right. \\ & \quad \left. + \square \frac{\log^k n}{n} + \cdots + \square \frac{1}{n} \right. \\ & \quad \left. + \cdots \right. \\ & \quad \left. + R(n) \right) \end{aligned}$$

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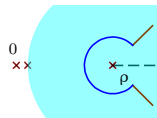
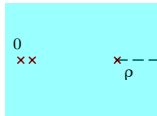
$$\begin{aligned}
 [z^n] \ell(z) = \rho^{-n} \left( & [8.07 \pm 10^{-3}] n^3 \ln n + [1.37 \pm 10^{-3}] n^3 \right. \\
 & + [50.5 \pm 10^{-1}] n^2 \ln n + [29.7 \pm 10^{-1}] n^2 \\
 & \left. + [\pm 1.5 \cdot 10^3] n \ln^2 n \right) \qquad \text{for all } n \geq 50
 \end{aligned}$$

# Singular Expansion

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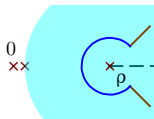


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**Bound each term** for  $n \geq n_0$

## The Local Error Term

$$g(z) = (z - \rho)^{\nu+r} \left( h_0(z) + h_1(z) \log \frac{1}{z - \rho} + h_2(z) \log^2 \frac{1}{z - \rho} \right)$$



# Key Numeric Tools

[van der Hoeven 2001; M. 2011, 2019; ...]

$z_0, z_1$  — ordinary or regular singular points, with fixed associated sol bases

## Rigorous Integration of Singular LODEs

Given the coordinates of a solution  $f$  in the basis at  $z_0$ :

- ▶ one can compute boxes containing the coordinates of  $f$  at  $z_1$
- ▶ for a small enough  $\boxed{z} \subset \mathbb{C}$  containing no singular points, one can compute a box containing  $\{f(z) : z \in \boxed{z}\}$

## Bounds on Tails of Logarithmic Series

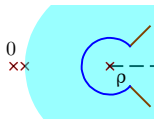
Given  $N \in \mathbb{N}$  and  $0 \leq \delta < \text{dist}(z_0, \{\text{singular points} \neq z_0\})$ ,

for each  $A \in$  local basis at  $z_0$ , one can compute  $\mathbf{M}_0, \dots, \mathbf{M}_K$  such that

$$A(z) = (z - \rho)^\nu \sum_{k=0}^K \left( \sum_{n=0}^{N-1} u_{n,k} (z - \rho)^n + \underbrace{\sum_{n=N}^{\infty} u_{n,k} (z - \rho)^n}_{|\cdot| \leq \mathbf{M}_k |z - \rho|^N \text{ for } |z - \rho| \leq \delta} \right) \log^k \frac{1}{1 - z/\rho}$$

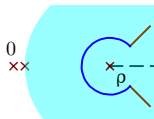
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$$g(z) = (z - \rho)^{\nu+r} \left( \underbrace{h_0(z)}_{|\cdot| \leq B_0} + \underbrace{h_1(z)}_{|\cdot| \leq B_1} \log \frac{1}{z - \rho} + \underbrace{h_2(z)}_{|\cdot| \leq B_2} \log^2 \frac{1}{z - \rho} \right)$$

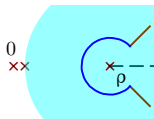




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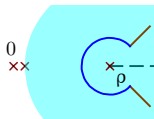
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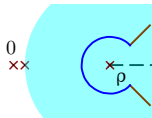
$$B(z) = B_0 + B_1 z + B_2 z^2 \quad \left| \log \frac{1}{1 - z/\rho} \right| \leq \pi + \log n \quad \text{on } \mathcal{S}_n$$

**Lemma (Error term on the **small arc**)**

$$\left| \frac{1}{2\pi i} \int_{\mathcal{S}_n} \frac{g(z)}{z^{n+1}} dz \right| \leq \frac{C_{\rho, \nu, r}}{(1 - 1/n_0)^{n_0+1}} |\rho|^{-n} n^{-\text{Re}(\nu) - 1 - r} B(\pi + \log n)$$

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**Lemma (Error term on the **line segments**)**

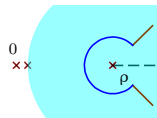
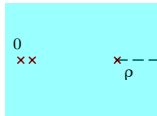
$$\lim_{\varphi \rightarrow 0} \left| \frac{1}{2\pi i} \int_{\mathcal{L}_n} \frac{g(z)}{z^{n+1}} dz \right| \leq C'_{\rho, \nu, r} |\rho|^{-n} n^{-\operatorname{Re}(\nu) - 1 - r} B(\pi + \log n)$$

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$$+ \underbrace{\sum_{n=\nu+r}^{\infty} \left[ c_{n,0} + c_{n,1} \log \frac{1}{z - \rho} + c_{n,2} \log^2 \frac{1}{z - \rho} \right] (z - \rho)^n}_{g(z)}$$

( $\nu = -4, r = 2$ )

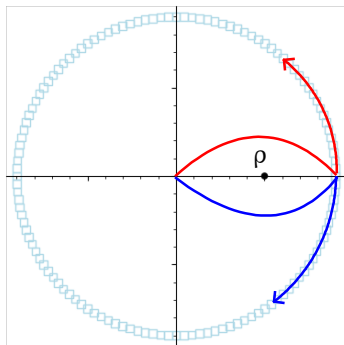


$$\begin{aligned} d_n &= \oint \frac{d(z)}{z^{n+1}} dz = \oint \frac{\ell(z)}{z^{n+1}} dz + \oint \frac{g(z)}{z^{n+1}} dz \\ &= [z^n] \ell(z) + \int_{S_n + \mathcal{L}_n} \frac{g(z)}{z^{n+1}} dz + \int_{\mathcal{B}} \frac{d(z) - \ell(z)}{z^{n+1}} dz \end{aligned}$$

**Bound each term** for  $n \geq n_0$

# The Global Error Term

$$\left| \frac{1}{2\pi i} \int_{\mathcal{B}} \frac{d(z) - \ell(z)}{z^{n+1}} dz \right| \leq R^{-n} \max_{|z|=R} |d(z) - \ell(z)|$$
$$\leq C_{n_0} |\rho|^{-n} n^\beta \log^k n$$



compute  $d(z)$  using the diff. eq.  
(staying in the analyticity domain of  $d(z)$ !)

# Conclusion

“Generic Case”

$$\rho^{-n} n^3 \left( \underbrace{[8.07 \pm 10^{-3}] \ln n + [1.37 \pm 10^{-3}] + [50.5 \pm 10^{-1}] \frac{\ln n}{n} + [29.7 \pm 10^{-1}] \frac{1}{n}}_{\text{truncated asymptotic expansion}} + \underbrace{[\pm 2 \cdot 10^3] \frac{\ln^2 n}{n^2}}_{\text{error term}} \right),$$

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## What may go wrong

### ► Multiple dominant singularities

- not an asymptotic expansion
- still makes sense as a bound

$$2^n \left( \square + \frac{\square}{n} \right) + (-2)^n \left( \square + \frac{\square}{n} \right) + [\pm M] \frac{1}{n^2}$$

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- ▶ **Failure to detect exact zeros**  $2^n \left( [\pm 10^{-100}] + \dots \right) + [12.3 \pm 10^{-1}] + \frac{[4.56 \pm 10^{-1}]}{n}$ 
  - ▶ useless bound (for large  $n$ )
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# Conclusion

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  - ▶ rare in practice on “small” examples, full analysis???

# Conclusion

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- ▶ Irregular singular points

# Image Credits

- ▶ Red blood cells

<https://pixabay.com/illustrations/blood-cells-red-medical-medicine-1813410/>

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